Investment Form for Charities

Application Form



October 2026

How to complete this application form

Please read this page before you begin

About this form

Use this form to apply for an EdenTree charity investment account. Complete all sections that apply to your charity in clear, legible writing. Where a section does not apply, write “Not applicable”. Please ensure the authorised individuals sign and date the form and send all supporting documents with the completed application. Missing or inconsistent information may delay the application.

Important: The form must contain your charity’s own information. If you are unsure about tax classification, seek independent tax advice.

Complete the form in five steps

1. **Tell us about the charity** - Enter the legal name, registration or HMRC reference, address and main contact.
2. **Choose the investment** - Select the fund(s), amount(s), payment method and income option.
3. **Provide tax and trustee details** - Complete FATCA/CRS information and list every trustee.
4. **Confirm authority and reporting** - Add account signatories, obtain signatures and choose one reporting frequency.
5. **Check and submit** - Review the form, attach evidence and keep a copy of everything sent.

Numbered contents

1. Charity details
2. Contact details and contract-note delivery
3. Valuation and transaction reporting
4. Investment choice
5. Payment method
6. Dividend option and bank details
7. FATCA and CRS tax information
8. Trustee details
9. Signatories
10. Authorisation
11. Important information and contact details
12. Verification and supporting documents
13. Certification requirements
14. Financial adviser or agent, if applicable

Before you start: information checklist

- [ ] Charity's full legal name, status and registered address
- [ ] Charity Commission registration number or HMRC reference
- [ ] Details of the main contact and a monitored email address
- [ ] Fund choice, investment amount and intended payment method
- [ ] Charity bank account name, sort code and account number
- [ ] FATCA/CRS tax residency and classification information
- [ ] Full name, date of birth and residential address for every trustee
- [ ] Details of authorised account signatories and authorisers

Documents to have ready

- [ ] Recent charity bank statement or voided cheque, where required
- [ ] For each person signing: one acceptable identity document and one acceptable address document
- [ ] For an incorporated charity: details of the Company Secretary, together with one acceptable identity document and one acceptable address document
- [ ] If not Charity Commission registered: HMRC evidence of charitable status, for example a recent letter from HMRC showing the charity's tax reference

Note: A list of acceptable identity and address documents can be found at the back of the application form.

Final check before sending

Confirm that all applicable sections are complete; every trustee is listed; the correct people have signed and dated the form; investment and payment amounts agree; and all supporting documents are enclosed.

Need help?

Administrative enquiries: 0800 358 3010
edentreeimqueries@ntrs.com

Product or fund information: 0800 032 3778
charities@edentreeim.com

Send completed applications and supporting documents to: EdenTree Investment Management Limited, Sunderland, SR43 4AU.

Please complete in black ink.
Please call 0800 358 3010 if you require help completing this form.

Please complete all sections and return to:
EdenTree Investment Management Limited, Sunderland SR43 4AU

Charity name (We will open the account in this name)

Charity status Charity Registration Number

or HMRC Tax Reference Number

(Please provide copy correspondence from HMRC confirming your HMRC reference number.)

Registered address (if applicable)

Postcode

Telephone **Email**

The contract note in respect of your investment/s will be sent by email to the above email address unless you provide an alternate email address for contact about your investment (see page 5).

Charity constitution (Please tick whichever applies)

Please provide a copy of any relevant documentation. See page 14.

- ☐ By Trust Deed
- ☐ Parochial Church Council (PCC Powers Measure 1956)
- ☐ Other (Please provide details)
- ☐ Limited Company

Company Registration Number

Name of Company Secretary

If your organisation is a Limited Company the Company Secretary or person acting in that capacity must also complete their details on page 10.

Contact details (Correspondence address, if different from above)

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Address

Postcode

Telephone **Email**

We are only able to send postal correspondence to one representative of the Charity plus a copy to your financial adviser where appropriate.

Please confirm which email address we should use for the the delivery of the contract note (investment confirmation) if they are different.

☐ Charity ☐ Contact email

Please complete all sections. Your email and telephone information will only be used in connection with your EdenTree investment and will not be used for any other purpose.

Valuations

We are only able to send a single hard copy to the verified postal address held on the register. Emailed valuations will be sent to the address detailed above and any other emails that have been authorised and verified and registered against the account, for security reasons.

Valuations will be sent on a monthly and quarterly basis and on annual basis as per your instruction.

Please confirm here the date that you require annual valuation and transaction reports to be issued. Day and Month

Day **Month**

NOTE - Adhoc valuations can be obtained by calling us on 0800 358 3010 or for a PDF version by emailing edentreeimqueries@ntrs.com. Please allow five business days for a pdf version to be prepared and sent.

Investment choice

Please indicate below the fund(s) in which you wish to invest immediately and the respective amount:
If setting up an account in advance of placing a deal instruction, please leave the below boxes blank.

☐ EdenTree Sustainable Managed Income Fund – Class I	Amount £	
☐ EdenTree Green Impact Equity Fund – Class B	Amount £	
☐ EdenTree Global Impact Bond Fund – Class B	Amount £	
☐ EdenTree Green Impact Infrastructure Fund – Class B	Amount £	
☐ EdenTree Global Sustainable Government Bond Fund – Class B	Amount £	
☐ EdenTree Sustainable UK Equity Fund – Class B	Amount £	
☐ EdenTree Sustainable European Equity Fund – Class B	Amount £	
☐ EdenTree Sustainable Global Equity Fund – Class B	Amount £	
☐ EdenTree Sustainable UK Equity Opportunities Fund – Class B	Amount £	
☐ EdenTree Sustainable Sterling Bond Fund – Class B	Amount £	
☐ EdenTree Sustainable Short Dated Bond Fund – Class B	Amount £	
	Total investment: £	

Further information on our products is available at **www.edentreeim.com**

Payment method

Please indicate your method of payment

Cheque[1] is enclosed with this application form ☐ **OR** ☐ Payment will be made electronically

Adding amounts in the boxes above or enclosing a correctly completed cheque with this application will constitute a dealing instruction, and shares will be purchased on behalf of your organisation. Full settlement of the amount invested will be expected on the due settlement date. The price of shares in each fund (and the number of shares purchased) is determined at midday on each Business Day. Instructions received before midday will purchase shares at the price determined on that day. Instructions received after this time will purchase shares as determined on the next Business (Dealing) Day.

A confirmation/contract note will be emailed to the address detailed above and posted on the business day after the investment has been instructed. This will include details of the date the investment was made, the number of shares purchased and the price paid, together with confirmation of the bank details for payment, as shown below. Settlement is required two days after trade date.

Electonic Transer Payments should be paid to - **Account Name - EdenTree Dealing Account - Sort Code - 50-00-00 - Account Number - 97126519** (Nat West Bank) (please quote the reference as shown on the confirmation/contract note or your account number so that the payment can be matched to the investment). Payment is required two business days after the investment has been placed.

1. Cheques are to be made payable to **EdenTree Investment Management – Dealing Account.**

Dividend Income Option (Please tick one option)

If no option is indicated proceeds will automatically be reinvested

☐ Receive dividend proceeds ☐ Reinvest dividend proceeds

Please provide your bank details below if you wish to have income paid.

Please refer to fund information documents for details of income payment frequency

Account name **Bank/Building Society**

Branch name

Sort Code **Account No**

Building Society Reference (if applicable)

Withdrawal payments will also be paid to this account. Payments to third parties are not permitted. We will also need to verify your account details in advance of any dividends being paid or redemption requests. See note on page 14 for additional information.

Tax vouchers in respect of income will be posted to your correspondence address.

This section of the form must be completed by all investing Charities

Foreign Account Tax Compliance Act (FATCA) US & Common Reporting Standards – EU and other Tax Information.

FATCA

If you have provided your Charity Reference Number or HMRC Reference on page 4 you are exempted from FATCA requirements.

Have you completed your Charity or HMRC reference on page 4 ☐ Yes ☐ No
(If you are unable to provide please contact us).

"FATCA"

FATCA stands for The Foreign Account Tax Compliance Act which was enacted as part of the Hiring Incentives to Restore Employment (HIRE) Act on March 18, 2010. FATCA creates a new information reporting and withholding regime for payments made to certain foreign financial institutions and other foreign entities. The FATCA regulations have been adopted in the UK by the International Tax Compliance Regulations 2015.

CRS

Please complete this section even if you are exempt for tax purposes.

Tax Residency

1. Please state the country in which your organisation is **resident** for **tax purposes**

Please tick the relevant box below

Active NFE[1] ☐ Passive NFE[2] ☐

1. Active NFE: Normally applies to a UK charity registered with the Charity Commission or HMRC, and operated for qualifying charitable or religious purposes.
2. Passive NFE: Applies where the organisation does not meet any of the Active NFE criteria. This may include an organisation whose income or assets are mainly passive investments.

If you are unsure which classification applies, please seek independent tax advice. EdenTree are unable to provide tax advice.

Note: If you feel that the criteria stated do not meet your circumstances please contact us

Explanations

"NFE"

Means any Entity that is not a Financial Institution.

"Active NFE"

Any NFE (any entity that is not a Financial Institution) can be an Active NFE, provided that it meets **any** of the criteria listed below. In summary, those criteria refer to:

- non-profit NFEs;
- active NFEs by reason of income and assets;
- publicly traded NFEs;
- Governmental Entities, International Organisations, Central Banks, or their wholly owned Entities;
- holding NFEs that are members of a nonfinancial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centres that are members of a nonfinancial group; or

For more details on an Active NFE please see pages 12 & 13 in the notes section of the application form.

"Passive NFE"

Under the CRS a "Passive NFE" means any: (I) NFE that is not an Active NFE.

Please refer to pages 15 & 16 for additional information relating to CRS categories.

Trustee details

Name and address and date of birth of ALL Trustees of the charity

Name **Date of birth**

Address

Postcode

Name **Date of birth**

Address

Postcode

Name **Date of birth**

Address

Postcode

Name **Date of birth**

Address

Postcode

Name **Date of birth**

Address

Postcode

Name **Date of birth**

Address

Postcode

If you have additional Trustees, please include all of their details on a separate attachment.

Signatories – Individuals who can provide instructions on the account

Individuals appointed by the Trustees/Directors or Company Secretary who can provide instructions and can operate the account.

Charity Commission guidance states that accounts should be operated by at least two signatories.
If this is impractical, please enclose a certified copy of the minutes authorising single signatory operation (see page 17).
Please copy this form should you wish to add more individuals.

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Authorisation

This section is to be completed and signed by two Trustees/Directors and/or the Company Secretary, if the Charity is incorporated.

On behalf of the Charity we agree to be bound by the Funds Prospectus.

We confirm that:

- we are authorised to act on behalf of the applicant Charity
- the individuals named on page 10 are delegated to operate this account
- we have read and understand the content of the fund's Prospectus, and consent to the Authorised Corporate Director (ACD) applying the Delivery Versus Payment Exemption (as set out in the Prospectus) which means that FCA client money rules do not apply to subscription monies received to settle transactions for the first day following receipt
- the account is to be used to hold only money held on charitable assets
- we have read and understand the latest Key Investor Information Document(s) for the fund(s) and share classes in which we are investing
- we have read and understand the latest Sustainability Disclosure for the fund(s) in which we are investing
- we shall notify EdenTree Investment Management of any changes to ourselves or to the individuals named on page 9 or 10
- we will inform EdenTree Investment Management immediately should the Charity cease to qualify as a registered Charity

We authorise you to:

- conduct this account as instructed in this application form until you are instructed to the contrary
- accept faxed instructions that purport to be properly issued in accordance with this application form. We indemnify you against any costs or loss from your acting on such instructions

We acknowledge that this transaction is at our initiative and/or on the advice of the financial adviser named on page 5. We confirm we have not received advice from EdenTree Investment Management about any of the EdenTree Funds or their specific suitability for our circumstances. We understand that EdenTree Investment Management is not required to and has not assessed whether this investment is suitable, and that we do not benefit from regulatory protections afforded to recipients of advisory services.

EdenTree Investment Management is subject to the Money Laundering Regulations 2007, as amended, which came into force from December 2007. EdenTree Investment Management will require verification of identity for the Trustee/Directors and for the Company Secretary authorising the opening of this account. This may be achieved electronically through the use of a credit reference agency which may keep a record of this information; this check is used only to verify identity and will not affect credit records. If EdenTree Investment Management is unable to verify individuals electronically it will require independent evidence of identity and permanent address. If EdenTree Investment Management does not receive acceptable evidence it reserves the right to delay or withhold payments of redemptions and/or payments of dividends until verification is satisfactorily completed.

Authorisation (continued)

Person 1

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) | **Surname**

Forename(s) | **Date of birth**

Address

Postcode

Signature | **Date**

Person 2

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) | **Surname**

Forename(s) | **Date of birth**

Address

Postcode

Signature | **Date**

These individuals will be verified for anti-money laundering purposes in line with requirements detailed on page 15.

Important information

EdenTree Investment Management is required to classify all its clients so that they receive an appropriate level of investor protection. EdenTree Investment Management will categorise you as a Retail Client, giving you the highest level of protection available.

For your information, the next level of classification would be a Professional Client, which may be an organisation that is considered to have the necessary experience, knowledge and expertise to make its own decisions and assess associated risk. Clients falling into this category receive lower protection than a Retail Client.

However, there are certain protections that Retail Clients receive that may not be available to Professional Clients classified as Retail Clients. In particular they may not be able to refer complaints to the Financial Ombudsman Service and are not eligible claimants under the Financial Services Compensation Scheme.

Data Protection Act

EdenTree Investment Management will always act responsibly with your personal data. By submitting your personal details, you consent to us using the information provided, as detailed in our Privacy Policy.

Our Privacy Policy can be found at **www.edentreeim.com** or can be requested by writing to us at Sunderland SR43 4AU.

Personal Data

EdenTree as data controller, will use your name, address, date of birth, contact information, payment details for dividend and redemption proceeds and tax residency information to open and service your EdenTree Investment Management Account. Your personal details are also used to meet regulatory requirements such as Anti Money Laundering obligations and US FATCA, the OECD CRS and UK tax regulations.

EdenTree Investment Management have delegated administration of your investment account to Northern Trust Global Services Limited (the "Administrator") who are responsible for processing your personal information. Your personal information will be processed by them (or may be processed by any of their affiliates, agents, employees, delegates or sub-contractors) for the purposes of account opening and maintaining your investment account.

Recipients of Data and International Transfer of Data

Depending on the circumstances, we may transfer your personal data to countries outside the European Economic Area that have less robust data protection laws. We will put appropriate safeguards in place to ensure that such transfers comply with data protection laws and that your personal data is protected.

Retention period

We will retain your personal information for as long as required for us to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate that the Fund retains your personal information.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information (in certain specific circumstances).
- Right to request that your personal information is erased (in certain specific circumstances).
- Right to object to processing of your personal information (in certain specific circumstances).
- Right to data portability (in certain specific circumstances).
- Rights re automated decision making.

Where EdenTree requires your personal information to comply with AML or other legal requirements, failure to provide this information means that EdenTree may not be able to accept you as an investor in the Fund.

You have the right to lodge a complaint with a supervisory authority in the EU Member State of your habitual residence or place of work or in the place of the alleged infringement if you consider that the processing of personal data relating to you carried out by EdenTree or its service providers infringes the General Data Protection Regulation.

Important informatIon (continued)

How to contact us

All instructions and changes to your account should be posted to us at EdenTree Investment Management Limited, Sunderland, SR43 4AU.

You can call us on 0800 358 3010 (during office hours in relation to general enquiries or to place a deal instruction.

How to contact us about your personal data and your rights

For further information on how we use your personal data and your rights in relation to your personal data, our Privacy Policy can be accessed at www.edentreeim.com. Should you have any enquiries relating to the personal data that we may hold about you or how this is processed, you can contact, Data Protection Officer, at Benefact House, 2000, Pioneer Avenue, Gloucester Business Park, Brockworth, Gloucester, GL3 4AW or on 0345 6073274 or email **compliance@edentreeim.com**.

Verification of Identity

If you have not appointed a financial adviser, the Trustee/Directors or Company Secretary authorising the opening of this account will be required to provide documentation to verify the Charity in accordance with anti-money laundering legislation, and to evidence their own identity and addresses. (See page 15).

If you have appointed a financial adviser they will be able to provide confirmation of your identity for anti-money laundering purposes.

Please note that any redemption requests or dividend payments will be withheld until verification documents have been provided.

Verification of Bank Account

If you have invested by cheque from the same account, no additional verification is required. If your initial investment is settled electronically, or if you change bank account, your bank account will need to be verified before any redemption or further dividend payments are made to you. In order to verify an account we will require either a voided cheque or an original or certified copy of a bank statement dated within the last three months. If you are unable to provide these please contact us to discuss.

Required documentation for your organisation

EdenTree Investment Management follows Charity Commission guidance that all accounts should be operated by at least two signatories.

If this is not appropriate for your Charity, please enclose a certified copy of the minutes of a Trustees, or PCC meeting authorising single signatory operation.

Authority to operate the account on the single signature of an authorised signatory is enclosed. ☐

For incorporated Charities, as well as the completed application form, we need the following:

Copy of documentation for the Company Secretary in accordance with the lists below ☐

Copy of the governing document, and details of the nature and purpose of the Charity ☐

For unincorporated Charities, as well as the completed form, we need the following:

List of all other Trustees. Please provide all details requested on page 9 of the application form ☐

Copy of the Trust Deed or equivalent governing document ☐

Charities not registered with the Charity Commission must provide:

Evidence that your organisation is recognised as a Charity for tax purposes. Please send evidence from HMRC to this effect (for example, a letter from HMRC or a Gift Aid repayment form). ☐

For all organisations:

Please enclose a copy of a bank statement (less than three months old) or voided cheque if the investment is being made electronically, or if the cheque is drawn on an account other than that detailed on page 4. ☐

If not publicly available, please provide a copy of the most recent audited financial statements ☐

Please provide a copy of the resolution/minutes authorising the account opening ☐

Note: Additional information may need to be provided and will be requested in writing.

Documents for verification of identity

Please provide one original or copy document from list A and one original or copy from list B for each person signing the form on page 12. If you have appointed a financial adviser, it is their responsibility to obtain and provide this information on your behalf.

Please ensure that all photographs and documents are clearly viewable and quote the individual's full name and current residential address (if applicable):

List A

- Valid Passport
- Valid National Identity Card (Non-UK Residents)
- Valid Photocard Driving Licence – Full or Provisional[1]
- Valid Firearms Certificate or Shotgun Licence
- Valid (old-style paper) full UK Driving Licence
- Evidence of entitlement to state or local authority funded benefit (eg Housing, Council Tax, Pension Credit, Tax Credit, Educational etc) for the current year
- Valid Disabled Person's Blue Badge
- HMRC correspondence dated within the last 12 months
- NHS Medical Card

List B

- Council Tax demand letter or statement for the current year[2]
- Recent bank account or credit card statement[2,3]
- Recent utility bill (mobile phone bills are not acceptable)[2,3]
- Recent statement from a Regulated Financial Services Firm[2,3]
- Confirmation of address on employer's company headed paper[2,3]

Any original documentation provided will be returned as soon as it has been reviewed.

1. If the driving licence is UK registered, please ensure the photocard is still valid by referring to the date, under Section 4b of the driving licence
2. Documents must be less than 3 months old when received by ourselves, unless otherwise stated
3. Documents must not be printed off the internet
4. Examples can include some Educational establishments, Student Unions, Museums, Industrial and Provident Societies and Friendly Societies

CRS notes

CRS Notes on ACTIVE NFE

An entity will be classified as Active NFE if it meets **any** of the following criteria:

a) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;

   i. it is exempt from income tax in its jurisdiction of residence;

   ii. it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

   iii. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

   iv. the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision

CRS notes (continued)

b) less than 50% of the NFE's gross income for the preceding calendar year, or other appropriate reporting period, is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;

c) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;

d) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;

e) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund,

f) or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

g) the NFE is not yet operating a business and has no prior operating history, (a "start-up NFE") but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;

h) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;

i) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; **or**

the NFE meets all of the following requirements (a "non-profit NFE")

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD "*Common Reporting Standard for Automatic Exchange of Financial Account Information*" (the "CRS") and the associated "Commentary" to the CRS.

If you have any questions about these definitions or require further detail then please contact your tax adviser or local tax authority.

As a financial institution, we are not allowed to give tax advice.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution.

Please contact us and we will provide you with the full CRS form for completion, as additional information will be required.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information along with details about the information being requested from the OECD automatic exchange of information.

"Entity"

The term "Entity" means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation. This term covers any person other than an individual (i.e. a natural person).

"Account Holder"

The "Account Holder" is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. This is regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than its owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership.

Certification requirements

Where certified copy documentation is required (see requirements on page 15) this must be performed by one of the following regulated or professional persons:

- Lawyer/Solicitor
- Accountant
- Authorised Financial Intermediary

When certifying the documentation, the certifier must clearly state all of the following information on every document:

1. Full name and signature of the individual certifying the documentation.
2. Name and address of the organisation for which the individual works, in case we need to contact them.
3. The date the certification was performed.
4. The statement that "I have seen the original document and certify that this is a complete and accurate copy of the original". When certifying documents with photographic evidence "I certify that the associated photograph bears a good likeness to the applicant".

To be completed by your financial adviser/agent (if applicable)

FCA Code	**Contact Name**
Company name	
Address	
	Postcode
Telephone	**Email**

For help completing the form or for administrative enquiries please call us on 0800 358 3010 or email us at edentreeimqueries@ntrs.com

Monday to Friday 9am to 5pm.
We may monitor or record calls to improve our service.

For further information on any of our products or funds please call us on 0800 032 3778 or email us at charities@edentreeim.com

Or visit us at edentreeim.com

Please post your completed application form and supporting documents to:
EdenTree Investment Management Limited, Sunderland SR43 4AU



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